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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/872,590	05/31/2001	Alok K. Srivastava	260/012	2628

23639 7590 06/07/2006  
BINGHAM, MCCUTCHEN LLP  
THREE EMBARCADERO CENTER  
18 FLOOR  
SAN FRANCISCO, CA 94111-4067

EXAMINER

RAMPURIA, SATISH

ART UNIT PAPER NUMBER

2191

DATE MAILED: 06/07/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Interview Summary</b>	Application No. 09/872,590	Applicant(s) SRIVASTAVA ET AL.	
	Examiner Satish S. Rampuria	Art Unit 2191	

All participants (applicant, applicant's representative, PTO personnel):

(1) Satish S. Rampuria.

(3) Mary Steelman.

(2) George L. Fox.

(4) \_\_\_\_\_.

Date of Interview: 01 June 2006.

Type: a) ☒ Telephonic b) ☐ Video Conference  
c) ☐ Personal [copy given to: 1) ☐ applicant 2) ☐ applicant's representative]

Exhibit shown or demonstration conducted: d) ☐ Yes e) ☒ No.  
If Yes, brief description: \_\_\_\_\_.

Claim(s) discussed: 1.

Identification of prior art discussed: Yes, Behr et al. (6,708,173).


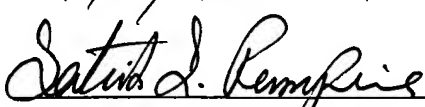
Agreement with respect to the claims f) ☐ was reached. g) ☒ was not reached. h) ☐ N/A.

Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: See Continuation Sheet.

(A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.)

THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN A NON-EXTENDABLE PERIOD OF THE LONGER OF ONE MONTH OR THIRTY DAYS FROM THIS INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW SUMMARY FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.

Examiner Note: You must sign this form unless it is an Attachment to a signed Office action.

  
  
 Examiner's signature, if required

## Summary of Record of Interview Requirements

### Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

### Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews

#### Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

#### 37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,  
(The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

### Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

Continuation of Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: George L. Fox, the representative, explained the invention in detail and pointed out specifically to the limitation "meta language grammar" that the reference Behr does not teach this limitation. Examiner suggested to amend the claim to elaborate the limitation "meta language grammar" with correspond to the specification, however, no agreement was reached. Examiner stated that upon receiving the response from the applicants an appropriate action would be forthcoming. Further, the representative pointed out that the IDS filed on 7/25/05, 1/24/05, 1/19/05 and 2/25/05 have not been reviewed and initialed. According to PALM these IDSs have been reviewed on 8/10/05, 8/10/05, 5/3/05 and 5/3/05 respectively. Examiner is has initialed copies of IDS with this response.

BINGHAM McCUTCHEN

## Facsimile

DATE: May 30, 2006

Bingham McCutchen LLP  
1900 University Avenue  
East Palo Alto, CA  
94303-2223

650.849.4400

650.849.4800 fax

bingham.com

Boston

Hartford

London

Los Angeles

New York

Orange County

San Francisco

Silicon Valley

Tokyo

Walnut Creek

Washington

	NAME	FAX	PHONE
TO:	Examiner Satish Rampuria Art Unit 2191, USPTO	(571) 273-3732	

FROM:	George L. Fox george.fox@bingham.com	(650) 849-4800	(650) 849-4860
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PAGES: (INCLUDING THIS COVER PAGE): 3

RE: Application No. 09/872,590, "Method and Mechanism for Using a Meta-Language to Define and Analyze Traces"

**MESSAGE:**

For transmission problems, please call (650) 849-4825

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Return To:	Mary Frances Brewer	Floor No:	04	

## BINGHAM McCUTCHEN

George L. Fox, Ph.D.  
Direct Phone: (650) 849-4860  
Direct Fax: (650) 849-4800  
george.fox@bingham.com

May 30, 2006

Via FAX - (571) 273-3732

Bingham McCutchen LLP  
1900 University Avenue  
East Palo Alto, CA  
94303-2223

650.849.4400  
650.849.4800 fax

bingham.com

Boston  
Hartford  
London  
Los Angeles  
New York  
Orange County  
San Francisco  
Silicon Valley  
Tokyo  
Walnut Creek  
Washington

Examiner Satish Rampuria  
Art Unit 2191  
United States Patent and Trademark Office

Re: Application No. 09/872,590, "Method and Mechanism for  
Using a Meta-Language to Define and Analyze Traces"

Dear Examiner Rampuria:

This letter is to confirm our agreement of earlier today to have a telephonic interview on Thursday, June 1, 2006, at 1:00 PM EST, regarding Application No. 09/872,590, "Method and Mechanism for Using a Meta-Language to Define and Analyze Traces." It is my understanding that your Supervisor, ~~Wei Zhen~~, will participate in the interview. Unless you prefer a different arrangement, I will call you in your office at (571) 272-3732.

*Mary Stehman*

The topics that I would like to discuss during the interview are as follows:

1. The assertion, at page 3, paragraph 5, of the Office Action mailed on February 8, 2006, that "the meta-language as referred by the Applicants is equivalent to a markup language such as HTML."
2. The assertion, at page 3, paragraph 7, of the Office Action mailed on February 8, 2006, that the disclosure of "data transferred from the user over the internet in HTML format" in Behr et al. (US Patent No. 6,708,173) anticipates the claim limitation "creating a meta-language grammar."
3. Applicants' arguments regarding the differences between "creating a meta-language grammar" and using HTML to transfer data over the internet. These arguments were presented at page 7 of the Response to Final Office Action, mailed on January 9, 2006.
4. The disclosure in Behr et al. of writing traces to a common file and formatting all trace attributes in a common manner, which is referenced at page 3, paragraph 5, and page 4, last paragraph, of the Office Action mailed on February 8, 2006.
5. Applicants' arguments that Behr et al.'s disclosure of formatting all trace attributes in a common manner teaches away from the claimed invention. These

Examiner Satish Rampuria  
May 30, 2006  
Page 2

arguments were presented at pages 8-9 of the Response to Final Office Action, mailed on January 9, 2006.

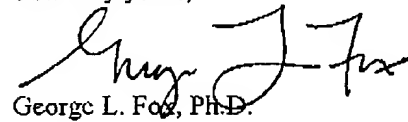
6. Applicants' arguments that Claim 2 is further distinguished from Behr et al. because it requires a second trace grammar that is different from the trace grammar of Claim 1, and thus provides for the concurrent use of different trace formats. These arguments were presented at page 9 of the Response to Final Office Action, mailed on January 9, 2006.

Bingham McCutchen LLP  
bingham.com

7. IDS filing that have not yet been initialed.

I look forward to discussing these matters with you on Thursday.

Sincerely yours,



George L. Fox, Ph.D.